



ATTORNEY DOCKET NO.: S&S-99/1074a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Frank Ficker

Serial No.: 09/733,009

Confirmation No.: 3026

Filed: December 8, 2000

For: Process and Apparatus for
Stretching Textile Fibers (as amended)

)
)
) Examiner: Unknown
)

) Art Unit: Unknown
)
)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

The present Information Disclosure Statement is submitted for the Examiner's consideration in accordance with the Applicant's duty of disclosure.

A search report from the German Patent Office on the corresponding German patent application is submitted for the Examiner's consideration, as well as a copy of DE 199 49 961A1, cited by the German Patent Office. Applicant's duty to provide a concise statement of relevance of this reference is satisfied by the search report indicating the degree of relevance found by the German Patent Office in accordance with 1138 OG 38 of May 19, 1992, and MPEP § 609A(3). The remaining references contained in the search report are already of record.

RECEIVED

JUL 5 - 2001

TECHNOLOGY CENTER R3700

The Examiner is encouraged to contact the undersigned at his convenience should he have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING, P.A.

A handwritten signature in black ink, appearing to read "Stephen E. Bondura", is written over a horizontal line.

Stephen E. Bondura

Reg. No. 35,070

P.O. Box 1449

Greenville, SC 29602-1449

(864) 271-1592

FAX (864) 233-7342

BEST AVAILABLE COPY



THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

In re Application of FRANK FICKER

Attorney Docket No.: S&S-99/1074a

Serial No.: 09/733,009

Date: June 19, 2001

Filed: DECEMBER 8, 2000

Art Unit: UNKNOWN

Confirmation No.: 3026

Our Account No.: 04-1403

Title: PROCESS AND APPARATUS FOR STRETCHING TEXTILE FIBERS (AS AMENDED)

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

RECEIVED

JUL 5 - 2001

TECHNOLOGY CENTER R3700

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
2 item(s)
- c. ☒ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

☒ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☐ WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☒ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i. ☒ Certification per Rule 97(e); OR
 - ii. ☐ Filing Fee per Rule 17(p) \$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
 - i. Certification per Rule 97(e); AND
 - ii. Filing fee per Rule 17(p) \$180.00

3. ☒ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☒ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____

Address: _____

Signature: _____

Date: _____

4. [x] **DEPOSIT ACCOUNT AUTHORIZATION:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5. [X] **CERTIFICATE OF MAILING:** This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

- a. [x] **First Class Mail Certificate of Mailing under Rule 8:**

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on JUNE 19, 2001.

JACQUELINE M. LEONARD

(Typed/printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

- b. [] **"Express Mail" Certificate under Rule 10:**

"Express Mail" - Label No. _____

Date of Deposit _____

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Washington, D.C. 20231.

(Typed/printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602
Telephone: 864-271-1592
Facsimile: 864-233-7342

DORITY & MANNING, P.A.

By: STEPHEN E. BONDURA

Reg. No.: 35,070

Signature: Stephen E. Bondura

Date: JUNE 19, 2001

BEST AVAILABLE COPY